PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attorney Docket Number	F-280	
First Named Inventor Zubok;		
COMPLETE	IF KNOWN	
Application Number		
Filing Date	3/6/2003	
Group Art Unit		
Examiner Name		
	First Named Inventor COMPLETE Application Number Filing Date Group Art Unit	

As a below named inventor, I hereby declare that: My residence, mailing address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Cervical Disc Replacement (Title of the Invention) the specification of which is a strached hereto OR was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above international filing date of the prior application and the national or PCT international filing date of the continuation which became a entitle between the filing date of the prior application and the national or or plant breader's nights certificately, or \$5(5) of any Critical property in the United States of America, isled below and have also identified the prior application and the national or patent, inventor's or plant breader's nights certificately on \$5(5) of any Critical property of the prior application and the national or patent, inventor's or plant preceder's nights certificately on \$5(5) of any Critical property of the prior application and the national or patent, inventor's or plant preceder's nights certificately on \$5(5) of any Critical property of the prior application and the national or patent, inventor's or plant preceder's nights certificately on \$5(5) of any Critical property of the prior application and the national or patent, inventor's or plant preceder's nights certificately on \$5(5) of any Critical property application which designated at least one country other than the United States of America, itself below and have also identificated on \$5(5) of any Critical property of	//		requirea)	Examiner Nam	le L						
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Cervical Disc Replacement (Title of the Invention) the specification of which is attached hereto OR was filed on (MM/DD/YYYY) and was amended on (MM/DD/YYYY) I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or I hereby date of the continuation-in-part application or significance in the continuation-in-part application or which became available between the filing date of the prior application and the national or I hereby date of the continuation-in-part application or which priority benefits under 35 U.S.C. 19(9)-(4) or (f), or 365(b) of any foreign application and the national or patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCI international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, proteing application for patent, inventor's or plant breeder's nights certificate(s), or any PCI international application having a filing date before that of the application on which priority is claimed. Prior Foreign Application Rumber(s) Country Foreign Filing Date Rumber(s) Country Foreign Filing Date		As a below named inventor, I h	ereby declare that:								
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			Country								
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached bareto:											
	T	Additional foreign application	numbers are listed on a	supplemental priority da	ta sheet PTO/SR	MO2B attached bare					

[Page 1 of 2]

PTO/SB/01 (03-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: Customer Nor Bar Code		OR X C	огтеspondence address below				
Joseph P. Errico Name							
150 Douglas Road							
Address							
City Far Hills		NJ State	ZIP 07931				
US Country	Telephone	917-373-5918	908-273-6136 Fax				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:	A petition	has been filed for this un	signed inventor				
Given Name (first and middle [if any]) Rafai	Karail Failly Name / Thok.						
Inventor's Signature Mullion	V		Date 8/6/03				
Residence: City Midland Park,	State	Country US	Citizenship US				
Mailing Address	22 Spruce Street	<u> </u>					
City Midland Park,	State NJ	ZIP 07432	Country US				
NAME OF SECOND INVENTOR:	A petition ha	s been filed for this unsi	gned inventor				
Given Name (first and middle [if any]) Anto	nio	Family Name or Surname	Valdevit;				
Inventor's Signature			Date March 6/03				
Residence: City Fishkill,	State NY	Country	CAN Citizenship				
Mailing Address	1502 Max Way						
City Fishkill,	State NY	ZIP 12524	US Country				
X Additional inventors are being named on the _1	_supplemental Addition	onal Inventor(s) sheet(s) PTO	/SB/02A attached hereto.				

Please	type	a į	plus	sign	(+)	inside	this box	 ▶	+

F-280

Ptease type a plus sign (+) inside this box PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if		☐ A petition has been filed for this unsigned inventor					
Given Name (first and middle [if an		Family Name or Sumame					
Michael W.				. І	Duda	sik;	
Inv ntor's Signature Alasta Co	-	Date 3/6/03					
Residence: City Nutley,		NJ	Country	US		Citizenship US	
Mailing Address 29 Daily Street							
Mailing Address	······································	· · · · · · · · · · · · · · · · · · ·					
City Nutley,	State	NJ	ZIP	07110 c	ountr	y US	
Name of Additional Joint Inventor, if any:							
Given Name (first and middle [if an			Family Nam	e or S	umame		
Joseph P.			Errico				
Inventor's Signature			Date 3/6/63				
Residence: City Greenbrook,	State	NJ	Country	US		Citizenship US	
Mailing Address	•	29 De	er Path (Circle			
Mailing Address						-	
City Greenbrook,	State	NJ	ZIP	08812	Cour	US	
Name of Additional Joint Inventor, if a	ny:		A petition	has been filed fo	or this	unsigned inventor	
Given Name (first and middle [if any		Family Name or Surname					
Inventor's Signature			- 17 11		Date		
Residence: City		Country Citizenship			Citizenship		
Mailing Address							
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City	State		ZIP		Co	untry	

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In the matter of the above-identified application, enclosed please find:

- 1. Substitution of Attorneys Pursuant to 37 C.F.R. § 1.36; and
- 2. Consent of Assignee 37 C.F.R. § 3.73(b).

Dated: February 6, 2004

Respectfully submitted,

Matthew B. Dernier

Registration No.: 40,989

KAPLAN & GILMAN, LLP 900 Route 9 North, Suite 104

Woodbridge, New Jersey 07095

(732) 634-7634

Attorney for Applicant

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.D. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 6, 2004

0....

Print Name: Matthew B. Demier

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBSTITUTION OF ATTORNEYS PURSUANT TO 37 C.F.R. § 1.36

Dear Sir:

We hereby appoint the following practitioners as our attorneys to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith: Joseph P. Errico (Reg. No. 38,131); Timothy J. Bortree (Reg. No. 43,506); Jeffrey I. Kaplan (Reg. No. 34,356); Michael R. Gilman (Reg. No. 34,826); Timothy X. Gibson (Reg. No. 40,618); and Matthew B. Dernier (Reg. No. 40,989).

All future correspondence should be forwarded to:

KAPLAN & GILMAN, L.L.P., 900 Route 9 North, Woodbridge, New Jersey 07095 telephone (732) 634-7634)

We are the assignee of record of the entire interest and therefore have the right to take action in the above-identified application. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

04 Date

Joseph P. Errico

CEO, SpineCore, Inc.

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE 37 C.F.R. § 3.73(b)

Dear Sir:

Pursuant to 37 C.F.R. § 3.73, I, Joseph P. Errico, being an officer of SpineCore, Inc., the Assignee of the above-identified patent application by way of assignment (reel/frame 013849/0592), hereby consent to a substitution of all previous powers of attorney and to the appointment of new attorneys in the above-identified patent application.

Date

Joseph P. Errico

CEO, SpineCore, Inc.

Jocket Number (Optional) ASSIGNMENT OF APPLICATION F-280 Whereas, I/We, Rafail Zubok; of Midland Park, NJ hereafter referred to as applicant, have invented certain new and useful improvements in _____ Cervical Disc Replacement for which an application for a United States Patent was filed on _____ Application Number____/ for which an application for a United States Patent was executed on ______ 3/6/2003 SpineCore, Inc. Summit, NJ herein referred to "assignee" whose mailing address is 447 Springfield Avenue, Summit, NJ 07931 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made. Executed this _____day of _____ Midland Park, NJ State of _____ (\$ignature) County of Before me personally appeared said and acknowledged the foregoing instrument to be his free act and deed this _____ Seal (Notary Public) Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, See below.

* ☑ Total of

forms are submitted.

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Executed thisat	OUI	day of	March	, 20	
State of)		-9	(Signature)	
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e: Signatures of all the in ms if more than one signa	ventors or assignees of ture is required, See b	of record of the entir pelow*.	re interest or their represer	ntative(s) are required.	Submit multiple

		OF APPLICAT		Docket Numb	7-280
Whereas, I/We,	,Micha	nel W. Dudasik;	_of	Nutley, NJ	.hereafter
referred to as a	pplicant, have inv	ented certain new a	and useful improveme	nts in	
	·	Cervical Disc	Keplacement		
for which ar Application	n application for a Number/	United States Pate	nt was filed on		
X for which an	application for a	United States Pater	nt was executed on _	3/6/200	3, and
Whereas,	SpineCore, Inc	C. of	Sumn	nit NII	
to "assignee" who	ose mailing addres	ss is 447 Sprin	Sumn gfield Avenue,	Summit, NI	_herein_referred
desirous of acqui	iring the entire rig	ht, title and interest	in the same:		07731 IS
that said Patent b	e issued to said a	issignee, of the enti	icant(s), by these presented invention anted therefor. If We life, right, title and interest length management in the second management in the sec	hereby authorize rest in and to the	and request same, for his
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				Docket Number (O-4: D
ASS	IGNMENT OF A		Docket Number (Optional)		
				F-28()
Whereas, I/We,	Joseph P. Errico	of	Gree	enbrook, NJ	.hereafter
referred to as applica	ant, have invented co	ertain new and useful i	improvement	s in	_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
		Cervical Disc Replacemen	ıt		
for which an ann	lication for a United	States Betarture Ele			
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Application (40)	Dei/		 *		
X for which an app	ication for a United	States Patent was exe	cuted on	3/6/2003	, and
Whereas. St	oineCore, Inc.	of	Summil	· NIT	
Whereas, <u>SI</u> to"assignee" whose n	nailing address is	447 Springfield Ave	enue,	Summit, NI 0793	rein referred
desirous of acquiring	the entire right, title a	and interest in the sam	ne:	9 9 07 70	<u>/1_</u> 15
		·	•		
Now, therefore, in con other good and valuat transfer unto said assi interest in and to any a that said Patent be iss sole use and behoof, a which said Patent may assignment and sale n	gnee the full and ex and all Patents which ued to said assigned and for the use and I be granted, as fully	clusive right to the sain may be granted there, of the entire, right, to the characters of the legal may be the control of the control of the legal may be the	y these presed invention and invention and interesting and int	ents do sell, assign nd the entire right, ereby authorize and est in and to the sa	, and title and d request me, for his
Executed this	6th da	y of M	larch (. 20	03
atGre	enbrook, NJ				<u> </u>
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State of		/ ((Signature)	
County of)				
Before me personally a	ppeared said				
and acknowledged the		t to be his free act and	dood this		
day of		to be included act and	rueeu tiiis		,
Seal				(Notary Publi	c)
Note: Signatures of all the inve forms if more than one signatu	ntors or assignees of rec	ord of the entire interest or	their representa	tive(s) are required. Su	bmit multiple
	o icquired, see below	•			